### Introduction to Deep Learning

# CNN for Image Classification

 Image classification is the task of assigning a label or class to an entire image









Images are expected to have only one class for each image



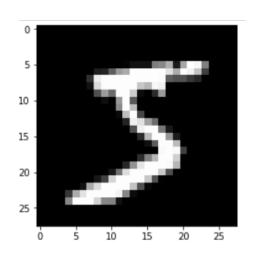


 Image classification models take an image as input and return a prediction about which class the image belongs to

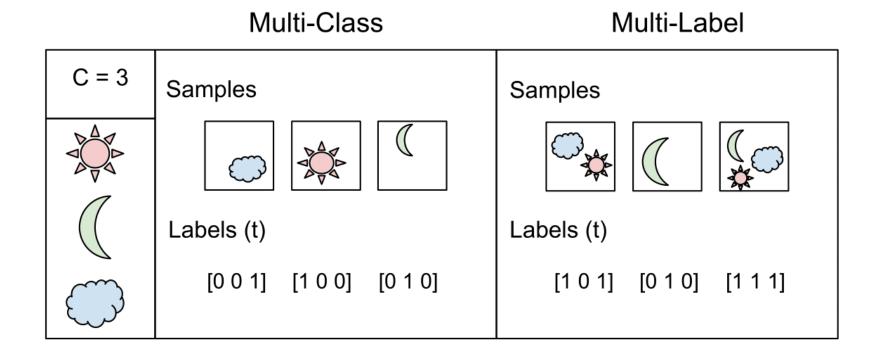




 "Dog vs. cat" classification is one problem of the so-called binary classification of images



- Having a grey scale image with size 28 \* 28 presenting a number from 0-9
- Predict which number the image is presenting?
- $\rightarrow$  Problem of *multi-class classification of images*



Multi-class vs. Multi-label classification

# Image Datasets

You can visit this website to get information of the dataset: <a href="https://paperswithcode.com/datasets">https://paperswithcode.com/datasets</a>

#### Use in this lecture:

 "Dogs vs. Cats" dataset downloaded from Kaggle https://www.kaggle.com/c/dogs-vs-cats/data

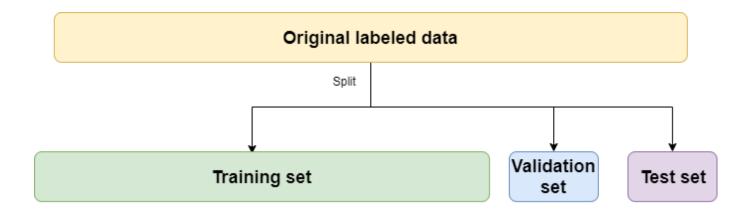
 "MNIST Dataset of handwritten digits" downloaded from Kaggle

https://www.kaggle.com/datasets/hojjatk/mnist-dataset

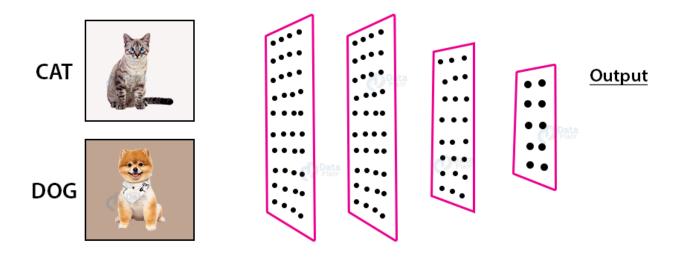
## **Dataset Preparation**

Standardize images prior to the model requirement

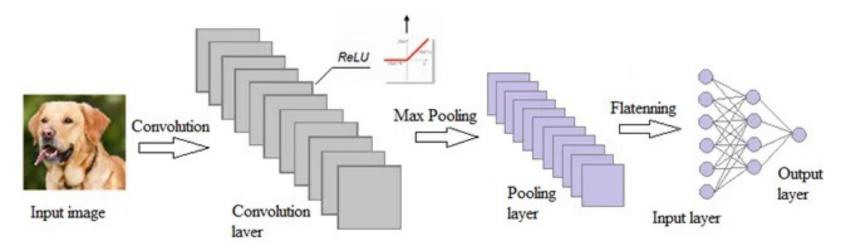
Standardize directories for training set, validation set and test set



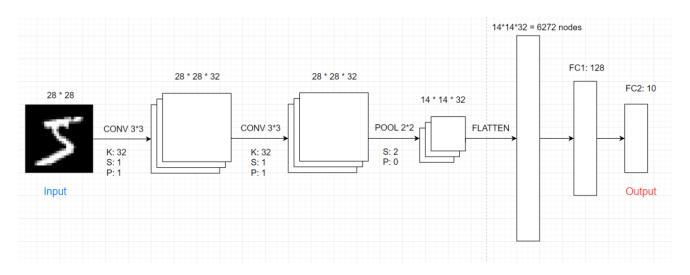
- Input data are images → choose CNN model
- General progress: input image → Convolutional layer (Conv) + Pooling Layer (Pool) → Fully Connected Layer (FC) → Output



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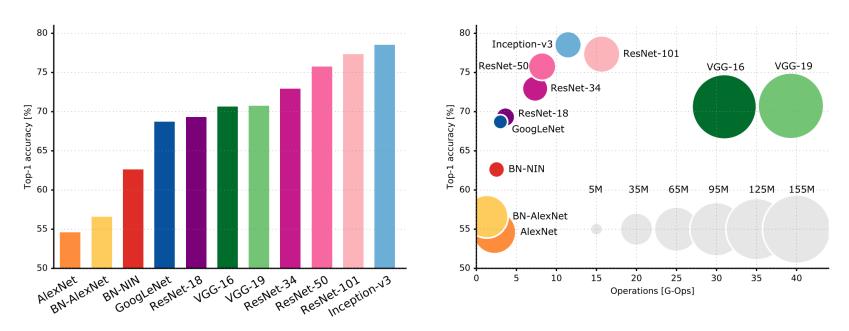


You can build a CNN network by yourself to perform image classification

You can also use the existing CNN architecture like VGG,
 ResNet, etc. to perform image classification

 Or you can modify the existing CNN architecture (VGG, ResNet, etc.) to perform image classification

Top 1-accuracy, performance and size on the ImageNet dataset



See: <a href="https://paperswithcode.com/sota/image-classification-on-imagenet">https://paperswithcode.com/sota/image-classification-on-imagenet</a> for more information

Canziani, Paszke, and Culurciello. "An Analysis of Deep Neural Network Models for Practical Applications." (May 2016).

Method	# Params	Extra Data	ImageNet		ImageNet-ReaL [6]
			Top-1	Top-5	Precision@1
ResNet-50 [24]	26M	_	76.0	93.0	82.94
ResNet-152 [24]	60M	_	77.8	93.8	84.79
DenseNet-264 [28]	34M	_	77.9	93.9	_
Inception-v3 [62]	24M	=	78.8	94.4	83.58
Xception [11]	23M	_	79.0	94.5	_
Inception-v4 [61]	48M	_	80.0	95.0	_
Inception-resnet-v2 [61]	56M	_	80.1	95.1	_
ResNeXt-101 [78]	84M	_	80.9	95.6	85.18
PolyNet [87]	92M	_	81.3	95.8	_
SENet [27]	146M	_	82.7	96.2	
NASNet-A [90]	89M	_	82.7	96.2	82.56
AmoebaNet-A [52]	87M	_	82.8	96.1	_
PNASNet [39]	86M	_	82.9	96.2	_
AmoebaNet-C + AutoAugment [12]	155M	_	83.5	96.5	_
GPipe [29]	557M	_	84.3	97.0	_
EfficientNet-B7 [63]	66M	_	85.0	97.2	_
EfficientNet-B7 + FixRes [70]	66M	_	85.3	97.4	_
EfficientNet-L2 [63]	480M	_	85.5	97.5	_
ResNet-50 Billion-scale SSL [79]	26M	3.5B labeled Instagram	81.2	96.0	·-
ResNeXt-101 Billion-scale SSL [79]	193M	3.5B labeled Instagram	84.8	_	_
ResNeXt-101 WSL [42]	829M	3.5B labeled Instagram	85.4	97.6	88.19
FixRes ResNeXt-101 WSL [69]	829M	3.5B labeled Instagram	86.4	98.0	89.73
Big Transfer (BiT-L) [33]	928M	300M labeled JFT	87.5	98.5	90.54
Noisy Student (EfficientNet-L2) [77]	480M	300M unlabeled JFT	88.4	98.7	90.55
Noisy Student + FixRes [70]	480M	300M unlabeled JFT	88.5	98.7	_
Vision Transformer (ViT-H) [14]	632M	300M labeled JFT	88.55	_	90.72
EfficientNet-L2-NoisyStudent + SAM [16]	480M	300M unlabeled JFT	88.6	98.6	
Meta Pseudo Labels (EfficientNet-B6-Wide)	390M	300M unlabeled JFT	90.0	98.7	91.12
Meta Pseudo Labels (EfficientNet-L2)	480M	300M unlabeled JFT	90.2	98.8	91.02

Meta Pseudo Labels, Hieu Pham et al. (Jan 2021).

### **Activation Function**

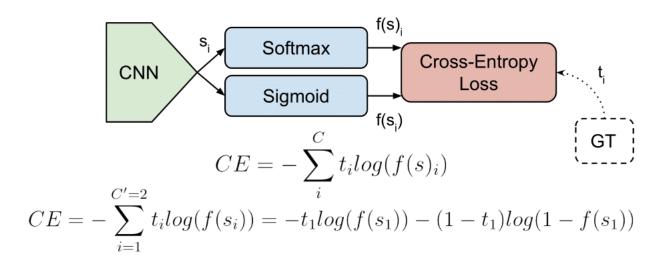
- Binary classification:
  - Activation function at output layer (with one node) is sigmoid function

$$f(s_i) = \frac{1}{1 + e^{-s_i}}$$

- Multi-class classification:
  - Activation function at output layer (with > 1 nodes) is softmax function

$$f(s)_i = \frac{e^{s_i}}{\sum_j^C e^{s_j}}$$

### Loss function



 Cross-entropy loss is used as default loss function for both binary and multi-class classification

### **Cross-entropy loss**

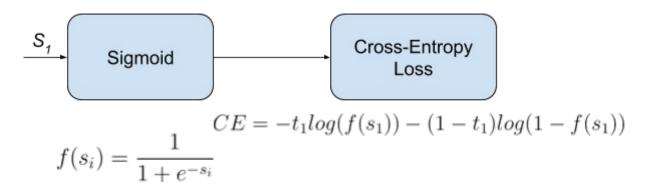
Formula of cross-entropy loss:

$$CE = -\sum_{i}^{C} t_{i}log(s_{i})$$

 Where t<sub>i</sub>, s<sub>i</sub> is the groundtruth and the CNN score for each class i in C

### Binary cross-entropy loss

Binary cross-entropy loss:



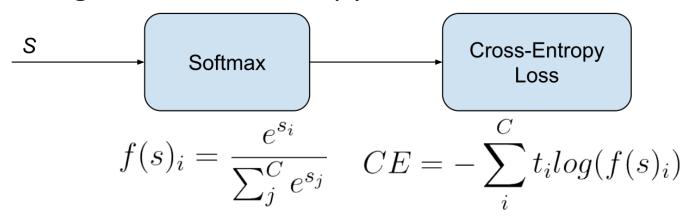
The loss can be expressed as:

$$CE = \begin{cases} -log(f(s_1)) & if \ t_1 = 1\\ -log(1 - f(s_1)) & if \ t_1 = 0 \end{cases}$$

Where t1 = 1 means that the class C1 = Ci is the positive class

### Categorical cross-entropy loss

Categorical cross-entropy loss:



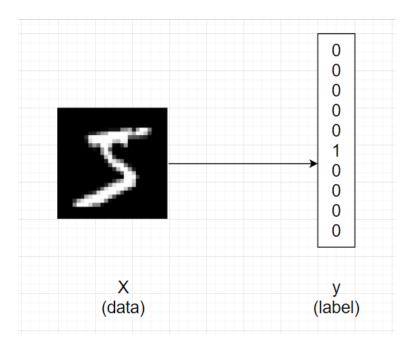
 In multi-class classification, the labels are one-hot, so only the positive class C<sub>p</sub> keeps its term in the loss:
 Only 1 element of the output vector is not zero

$$CE = -log\left(\frac{e^{s_p}}{\sum_{j}^{C} e^{s_j}}\right)$$

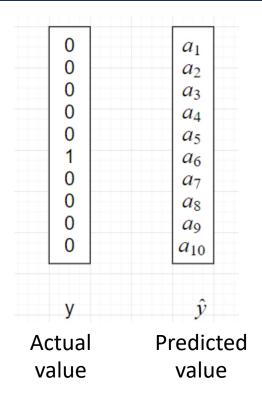
Where S<sub>p</sub> is the CNN score for the positive class

### Categorical cross-entropy loss

- Example for the problem of multi-class classification of handwritten digits
- One-hot encoding: transform data label as number i to the vector v of size 10 \* 1 where  $v_{i+1} = 1$  and others = 0



## Categorical cross-entropy loss



Our expectation is a<sub>6</sub> close to 1 and others close to 0

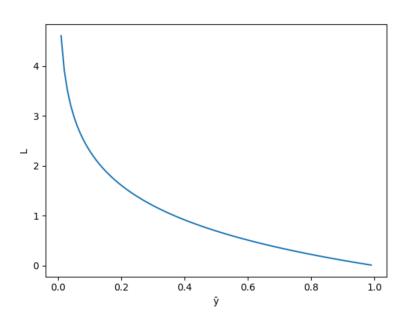
### **Loss Function**

$$L = -\sum_{i=1}^{10} y_i * log(\hat{y}_i)$$

• With i = 5: 
$$L = -log(\hat{y_6})$$

### **Loss Function**

$$L = -log(\hat{y_6})$$



- Loss function L becomes smaller when the predicted value is closer to the actual value, vice versa
- Our problem becomes "finding minimum value of L"

#### Pre-trained CNN models

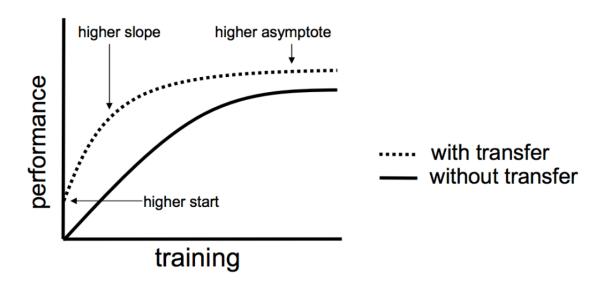
#### **Pre-trained models:**

- Training a model on big and general datasets such as ImageNet,
   VGGFace2 from scratch takes days or weeks
- Many models were trained on ImageNet/VGGFace2 and their weights are publicly available

#### Pre-trained CNN models

#### **Transfer learning:**

- Use pre-trained weights, remove last layers to compute representations of images
- Train a classification model from these features on a new classification task
- The network is used as a generic feature extractor



#### Pre-trained CNN models

**Fine-tuning:** retraining the (some) parameters of the network given enough data

- Truncate the last layer(s) of the pre-trained network
- Freeze the remaining layer's weights
- Add a (linear) classifier on top and train it for a few epochs
- Then fine-tune the whole network or the few deepest layers
- Use a smaller learning rate when fine tuning

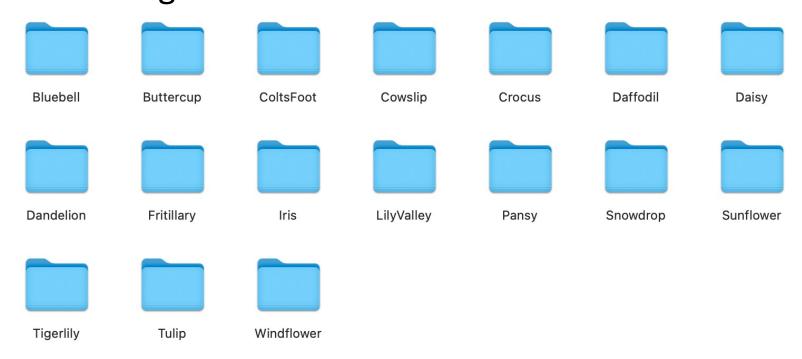
### Example

 Multi-class classification problem: Classify 17 types of flowers, in which each type has about 80 images



### Example

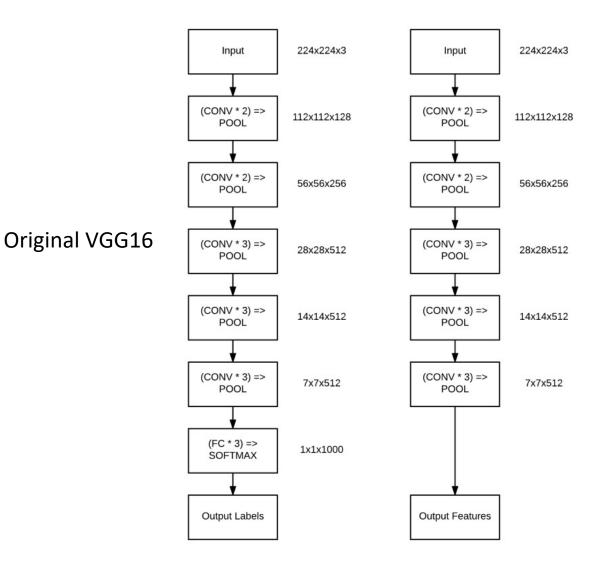
 Multi-class classification problem: Classify 17 types of flowers, in which each type has about 80 images



### Solution: Transfer Learning

- Use pre-trained model VGG16 on ImageNet dataset, which contains 1,2 million images of 1000 classes
- This pre-trained model is already supported in Keras

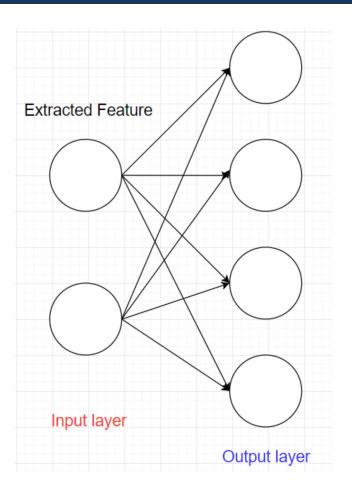
### Transfer Learning: Feature extractor



VGG16 with the removal of fully connected layers

### Transfer Learning: Feature extractor

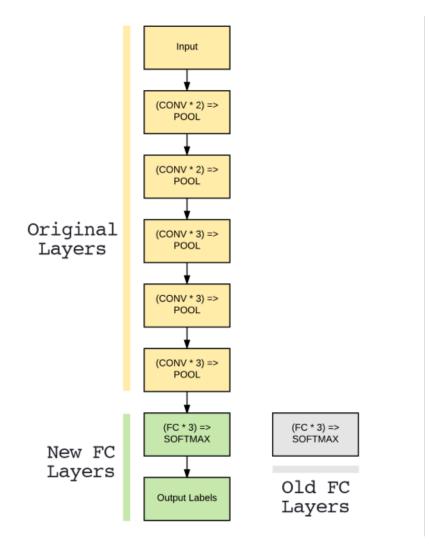
 Output features are used as input of linear classifiers such as linear SVM



# of nodes in output layer = # of classes to classify

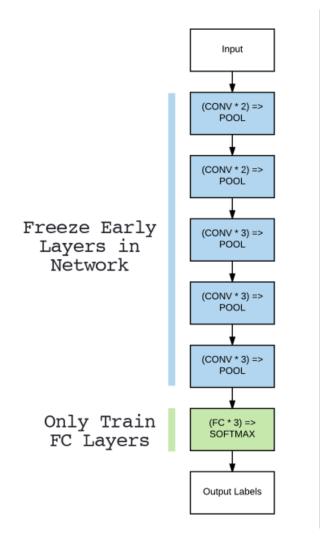
### Transfer Learning: Fine Tuning

- ConvNet of VGG16 are kept, FCs of VGG16 are removed
- New FC layers are added to the network



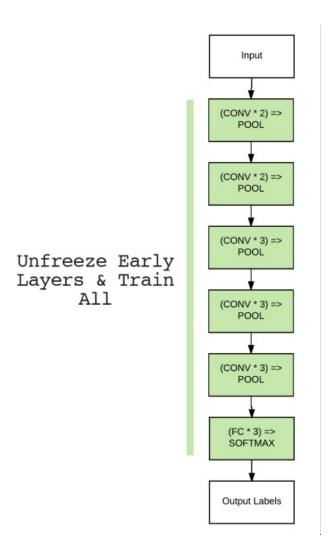
### Transfer Learning: Fine Tuning

 First stage of training: freeze pre-trained layers, only train newly added layers

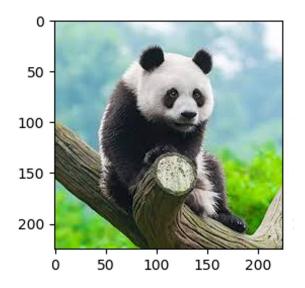


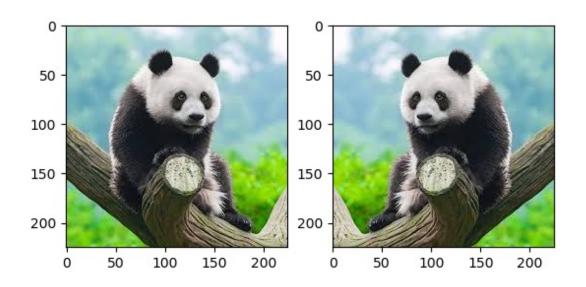
### Transfer Learning: Fine Tuning

 Second stage of training: unfreeze pretrained layers, train the whole network

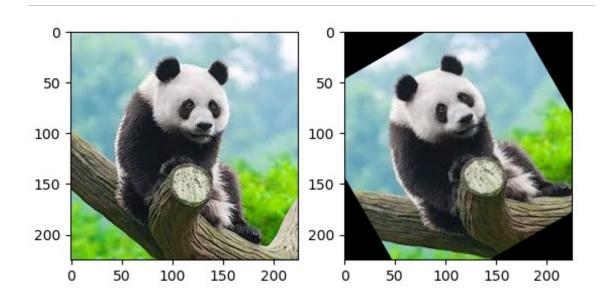


 Data augmentation is a technique to generate more training data from our dataset

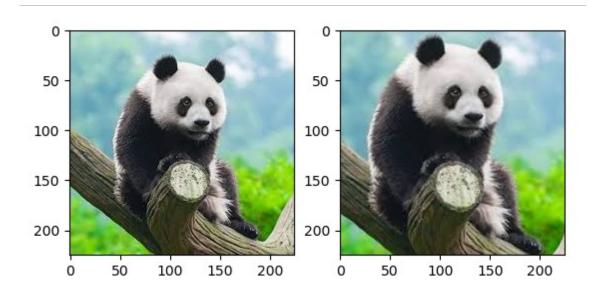




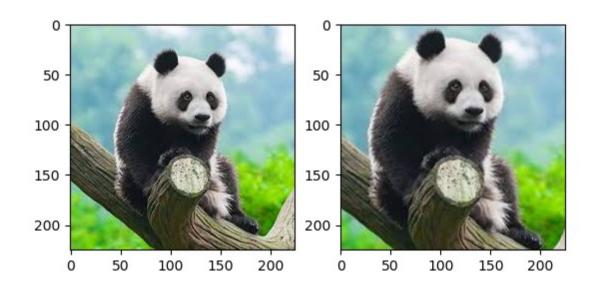
Flip image horizontally



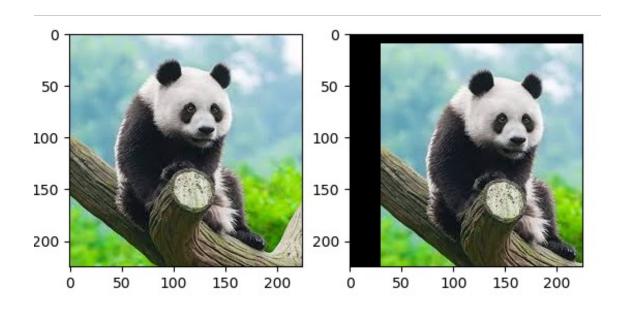
- Rotate image 30 degrees
- Problem of black regions



Scale the image to bigger size



 Crop an image region and resize to the same size with the original image



- Translate image 30px via x-axis, 10px via y-axis
- Problem of black regions

### Data Augmentation with Keras

```
from keras.preprocessing.image import ImageDataGenerator
image_gen = ImageDataGenerator(
    rescale=1. / 255,
    rotation_range=40,
    width_shift_range=0.2,
    height_shift_range=0.2,
    shear_range=0.2,
    zoom range=0.2,
    horizontal_flip=True,
    channel_shift_range=9,
    fill_mode='nearest'
train_flow = image_gen.flow_from_directory(train_folder)
model.fit_generator(train_flow, train_flow.n)
```

