

ADVANCED DATABASE

Semi-structured databases - XML

Dr. NGUYEN Hoang Ha

Email: nguyen-hoang.ha@usth.edu.vn



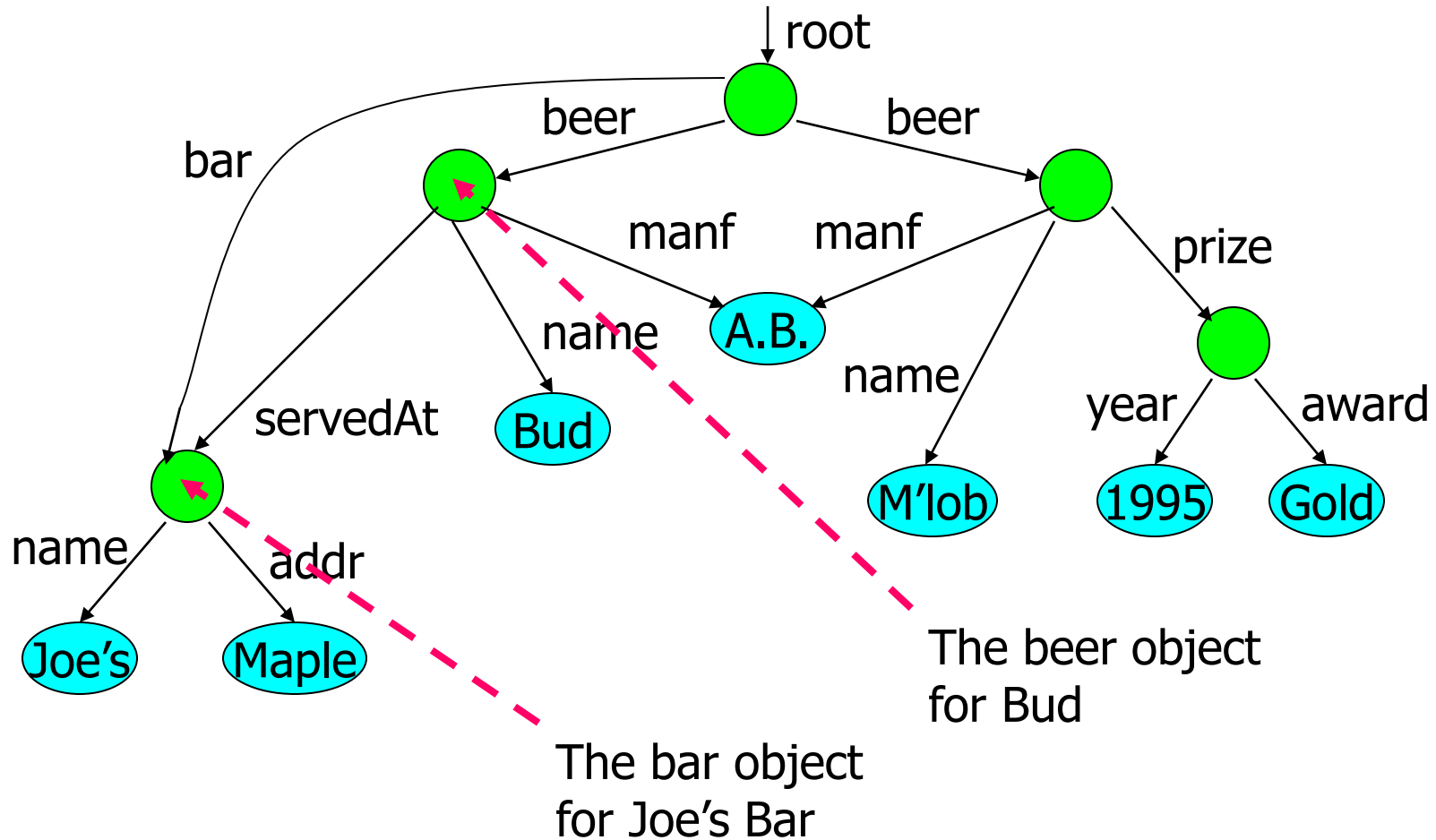
Agenda

- Semis-structured Data
- Background of XML
- XML syntax
- XML validation
 - DTD
 - XSD
- XQuery

Semis-structured Data

- Motivation:
 - Flexible representation of data: often, data comes from multiple sources with differences in notation, meaning, etc.
 - Sharing of documents among systems and databases.
- Semi-structured data
 - Not conform with formal structure (tables)
 - Another data model, based on trees.

Example: Data Graph



Semi-structured Data Representation

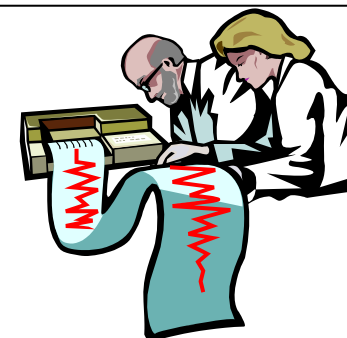
- Nodes = objects.
 - Atomic values at leaf nodes (nodes with no arc out).
 - Interior nodes have one or more arcs out
 - Labels on arcs (attributes, relationships).
- Flexibility: no restriction on:
 - Labels out of a node.
 - Number of successors with a given label.

History of Markup

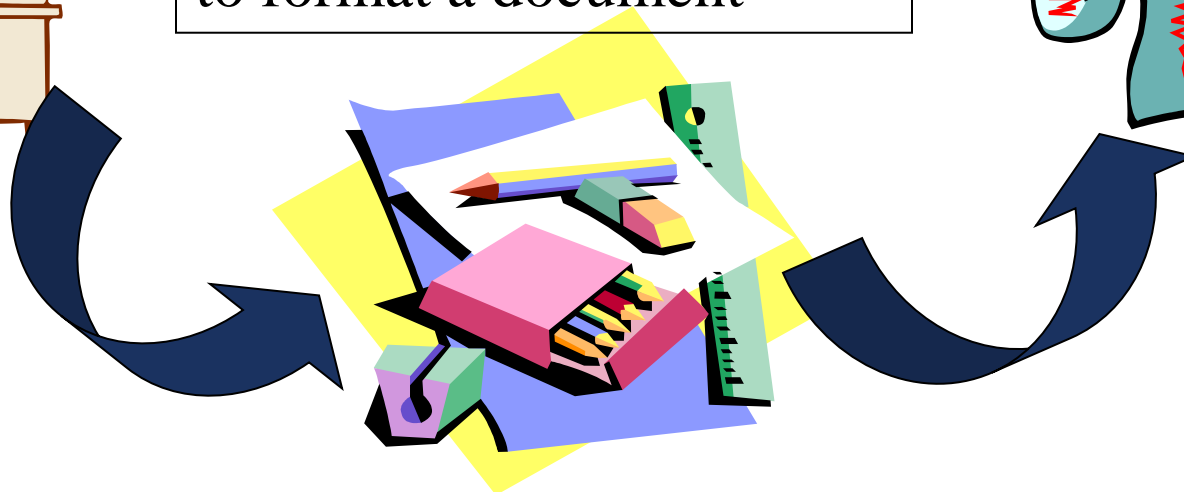
Documents recorded using paper and pen



Typesetters formatting documents

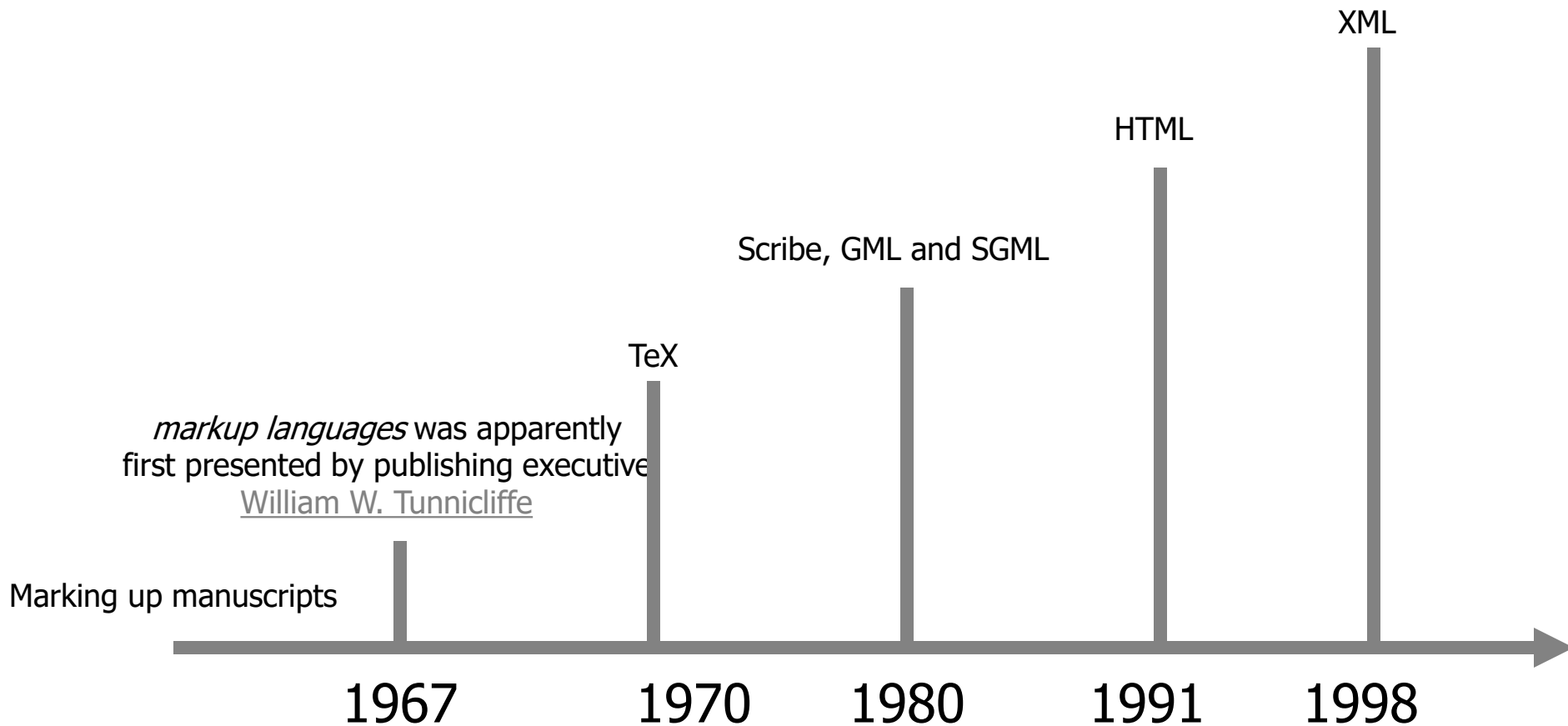


Tools used by typesetters to format a document



History of Markup

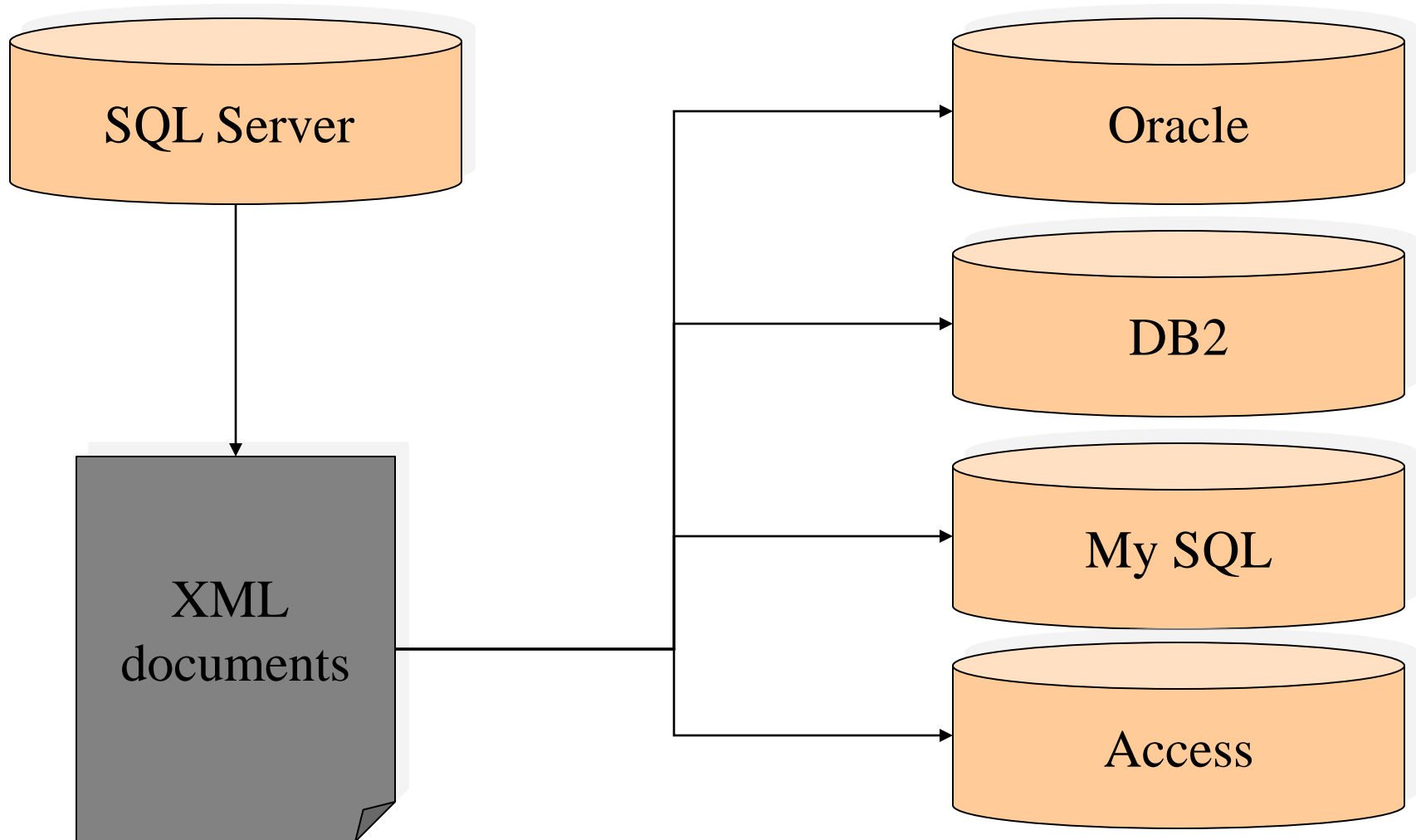
A Markup language defines the rules that help to add meaning to the content and structure of documents



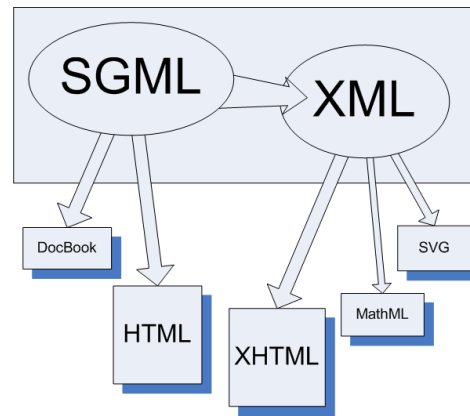
Extensible Markup Language - XML

- A smaller version of SGML.
- For data description, not for presentation like HTML
 - Data in XML can be displayed in different ways
- More flexible than HTML.
 - Users can define their tags understandable for others (people, programs)

XML as a data integration mean



Background for XML



- An Extensible Markup Language (XML) document describes the *structure of data*
- XML and HTML have a similar syntax ... both derived from SGML
- XML has no mechanism to specify the format for presenting data to the user
- An XML document resides in its own file with an ‘.xml’ extension

Example of XML

```
<?xml version="1.0" standalone = "yes" ?>
```

```
<BusinessCard>
```

```
  <Name>Joe Marini</Name>
```

```
  <phone type="mobile">(415) 555-4567</phone>
```

```
  <phone type="work">(800) 555-9876</phone>
```

```
  <phone type="fax">(510) 555-1234</phone>
```

```
  <email>joe@joe.com</email>
```

```
</BusinessCard>
```

XML syntax



XML declaration

```
<?xml version = "1.0" standalone= "no" encoding = "UTF-8"?>
```

- At very beginning, not even white space before
- XML declaration is optional
- <?xml?> must be in lower case
- Standalone: yes or no → whether this documents has rules (DTD, XSD)

Tags

- Tags (a.k.a elements) are nodes
- Format
 - Starting tag: `<_____>`
 - Ending tag: `</_____>`
- Starting and ending tags must match
- Tag name:
 - Case sensitive
 - Starts with underscore or letter
 - Followed by letters, digits, underscore, hyphens, dots
 - Cannot use “xml” in any combination
- Tag content: string between tags: `<email>joe@joe.com</email>`
- Short format for empty tag: `<___/>`. E.g: `<email></email> ≈ </email>`

Tags

- Every XML document has one root tag

```
<?xml version = "1.0" standalone= "no" encoding = "UTF-8"?>
```

```
<BusinessCard>
```

```
</BusinessCard>
```

- Nesting tag

- Tag can contains child tags
- Children must not overlap

```
<?xml version = "1.0"?>
<contact-info>
<company>TutorialsPoint
</contact-info>
</company>
```



```
<?xml version = "1.0"?>
<contact-info>
<company>TutorialsPoint
</company>
</contact-info>
```



Attribute

`<phone type="mobile"> (415) 555-4567</phone>`

- Similar to Attribute of HTML
- Specify on opening only
- Name
 - Starts with underscore or letter
 - Followed by letters, digits, underscore, hyphens, dots
- Value
 - Between double quotes
 - Can be converted into appropriate data type in programming languages
- Not duplicate name

Namespace

- Motivation: same tag (attribute) name but with different meanings in different contexts

→ use namespace to uniquely identify them

- Syntax
 - The Namespace starts with the keyword **xmlns**.
 - The **URL** is the Namespace identifier.

```
<?xml version = "1.0" encoding = "UTF-8"?>
<cont:contact xmlns:cont = "www.usth.edu.vn">
  <cont:name>Tanmay Patil</cont:name> <cont:company>Adventure
Works</cont:company>
  <cont:phone>(011) 123-4567</cont:phone>
</cont:contact>
```

Comments

- Comments embed human-readable information
- Start with `<!--` and end with `-->`
- Appear anywhere after declaration, but not nest to other comments

```
<?xml version = "1.0" standalone= "no" encoding = "UTF-8"?>
```

```
<!-- Business card information---->
```

```
<BusinessCard>
```

```
  <Name>Joe Marini</Name>
```

```
  <phone type="mobile">(415) 555-4567</phone>
```

```
  <phone type="work">(800) 555-9876</phone>
```

```
  <phone type="fax">(510) 555-1234</phone>
```

```
  <email>joe@joe.com</email>
```

```
</BusinessCard>
```

Character Data Section (CDATA)

- Used to contain data with special characters
 - <, >, &
- Define a block ignored by XML parser
- `<![CDATA[_____]]>`

```

<script>
<![CDATA[ <message> Welcome to TutorialsPoint </message> ] ] >
</script >
```

- Nesting is not allowed

Special Characters

- Some characters are reserved for XML syntax
- There are replacements

Not Allowed Character	Replacement	Character Description
<	<	less than
>	>	greater than
&	&	ampersand
'	'	apostrophe
"	"	quotation mark

The Basic Rules

- XML is case sensitive
- All start tags must have end tags
- Elements must be properly nested
- XML declaration is the first statement
- Every document must contain a root element
- Attribute values must have quotation marks
- Certain characters are reserved for parsing

XML formatting guidelines

- Add an indent for every new level of tags
- Tags do not contain other tags can have start and end tags on the same line
- Tags containing other tags should be on their own lines

Exercise: Convert a Table into XML

CONTACT								
ID	Name	Company	Email	Phone	Street	City	State	ZIP
100	TOM CRUISE	XYZ Corp.	tom@usa.net	3336767	25th St.	Toronto	Toronto	20056

```

<?xml version="1.0" encoding="UTF-8" standalone="yes" ?>
<CONTACT>
  <Id>    100    </Id>
  <Name> TOM CRUISE  </Name>
  <Company> XYZ Corp. </Company>
  <Email>  tom@usa.net </Email>
  <Phone>  3336767    </Phone>
  <Street> 25th St.  </Street>
  <City>   Toronto   </City>
  <State>  Toronto   </State>
  <ZIP>    20056     </ZIP>
</CONTACT>

```

XML VERIFICATION

Valid vs. Well Formed

- An XML document following XML syntax is called “Valid”
- A valid XML document conforming to structure rule is a “Well Formed” XML document
- 02 ways of structure rule definition:
 - DTD (Doctype definition)
 - Simple but not powerful
 - Written in a syntax different from XML
 - XML Schema
 - More powerful and flexible than DTD
 - Written in XML syntax

DTD example

```

<?xml version="1.0" standalone = "yes" ?>
<!DOCTYPE BusinessCard [
    <!ELEMENT BusinessCard (Name, phone+, email?)>
    <!ELEMENT Name (#PCDATA)>
    <!ELEMENT phone (#PCDATA)>
    <!ATTLIST phone type (mobile | fax | work | home) #REQUIRED>
    <!ELEMENT email (#PCDATA)>
]>
<BusinessCard>
    <Name>Joe Marini</Name>
    <phone type="mobile">(415) 555-4567</phone>
    <phone type="work">(800) 555-9876</phone>
    <phone type="fax">(510) 555-1234</phone>
    <email>joe@joe.com</email>
</BusinessCard>

```

DTD Structure

```
<!DOCTYPE <root tag> [
  <!ELEMENT <name> (<components>) >
  ... more elements ...
]>
```

- The description of an element consists of its name (tag), and a parenthesized description of any nested tags.
 - Includes order of subtags and their cardinality.
- Leaves (text elements) have #PCDATA (*Parsed Character DATA*) in place of nested tags.

Element Descriptions

- Subtags must appear in order shown.
- A tag may be followed by a symbol to indicate its multiplicity.
 - * = zero or more.
 - + = one or more.
 - ? = zero or one.
- Symbol | can connect alternative sequences of tags.

Attributes

- Opening tags in XML can have *attributes*.
- In a DTD,

```
<!ATTLIST E ...>
```

declares attributes for element *E*, along with its datatype.

Example

```
<!ATTLIST phone type (mobile | fax | work | home) #
REQUIRED>
```

```
<phone type="mobile">(415) 555-4567</phone>
```

```
<phone type="work">(800) 555-9876</phone>
```

```
<phone type="fax">(510) 555-1234</phone>
```

The same data in different format

```
<?xml version="1.0"?>
```

```
<!DOCTYPE BusinessCard [
  <!ATTLIST BusinessCard name CDATA #REQUIRED>
  <!ATTLIST BusinessCard email CDATA #REQUIRED>
  <!ELEMENT BusinessCard (phone+)>
  <!ELEMENT phone (#PCDATA)>
  <!ATTLIST phone type (mobile | fax | work | home) #REQUIRED>
  <!ELEMENT email (#PCDATA) >
]>
```

```
<BusinessCard name = "Joe Marini" email = "joe@joe.com">
  <phone type="mobile">(415) 555-4567</phone>
  <phone type="work">(800) 555-9876</phone>
  <phone type="fax">(510) 555-1234</phone>
  <phone type="home">(425) 555-8989</phone>
</BusinessCard>
```

Use of DTD's

1. Set standalone = “no”.
2. Either:
 - a) Include the DTD as a preamble of the XML document, or
 - b) Follow DOCTYPE and the <root tag> by SYSTEM and a path to the file where the DTD can be found.

Outer DTD file

Assume
"BusinessCard.dtd"
is the outer dtd file

```
<?xml version="1.0" standalone = "no" ?>  
<!DOCTYPE BusinessCard SYSTEM "BusinessCard.dtd">  
<BusinessCard>  
  <Name>Joe Marini</Name>  
  <phone type="mobile">(415) 555-4567</phone>  
  <phone type="work">(800) 555-9876</phone>  
  <phone type="fax">(510) 555-1234</phone>  
  <email>joe@joe.com</email>  
</BusinessCard>
```



```

<?xml version="1.0" encoding="utf-8" ?>
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <xsd:element name="BusinessCard">
    <xsd:complexType mixed="true">
      <xsd:sequence>
        <xsd:element name="Name" type="xsd:string"/>
        <xsd:element name="phone" maxOccurs="unbounded">
          <xsd:complexType mixed="true">
            <xsd:attribute name="type" use="required">
              <xsd:simpleType>
                <xsd:restriction base="xsd:string">
                  <xsd:enumeration value="mobile"/>
                  <xsd:enumeration value="fax"/>
                  <xsd:enumeration value="work"/>
                  <xsd:enumeration value="home"/>
                </xsd:restriction>
              </xsd:simpleType>
            </xsd:attribute>
          </xsd:complexType>
        </xsd:element>
        <xsd:element name="email" type="xsd:string" minOccurs="0" />
      </xsd:sequence>
    </xsd:complexType>
  </xsd:element>
</xsd:schema>

```

<https://www.liquid-technologies.com/online-xsd-validator>

Structure of an XML-Schema Document

```
<? xml version = ... ?>
```

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
```

```
. . .
```

```
</xs:schema>
```

Defines "xs" to be the *namespace* described in the URL shown. Any string in place of "xs" is OK.

So uses of "xs" within the schema element refer to tags from this namespace.

The `xs:element` Element

Has attributes:

- `name` = the tag-name of the element being defined.
- `type` = the data type of the element.
 - Could be an XML-Schema type, e.g., `xs:string`.
 - Or the name of a type defined in the document itself
- `maxOccurs, minOccurs`: restrict number of instances

Example

```
<xsd:element name="Name" type="xsd:string"/>
</xsd:element>
```

Complex Types

- To describe elements that consist of sub elements, we use **xs:complexType**.
 - Attribute **name** gives a name to the type.
- Typical subelement of a complex type is **xs:sequence**, which itself has a sequence of **xs:element** subelements.
 - Use **minOccurs** and **maxOccurs** attributes to control the number of occurrences of an **xs:element**.

```
<xsd:element name="BusinessCard">
```

```
  <xsd:complexType>
```

xs:attribute

- **xs:attribute** elements can be used within a complex type to indicate attributes of elements of that type.
- attributes of **xs:attribute**:
 - **name** and **type** as for **xs.element**.
 - **use** = "required" or "optional".

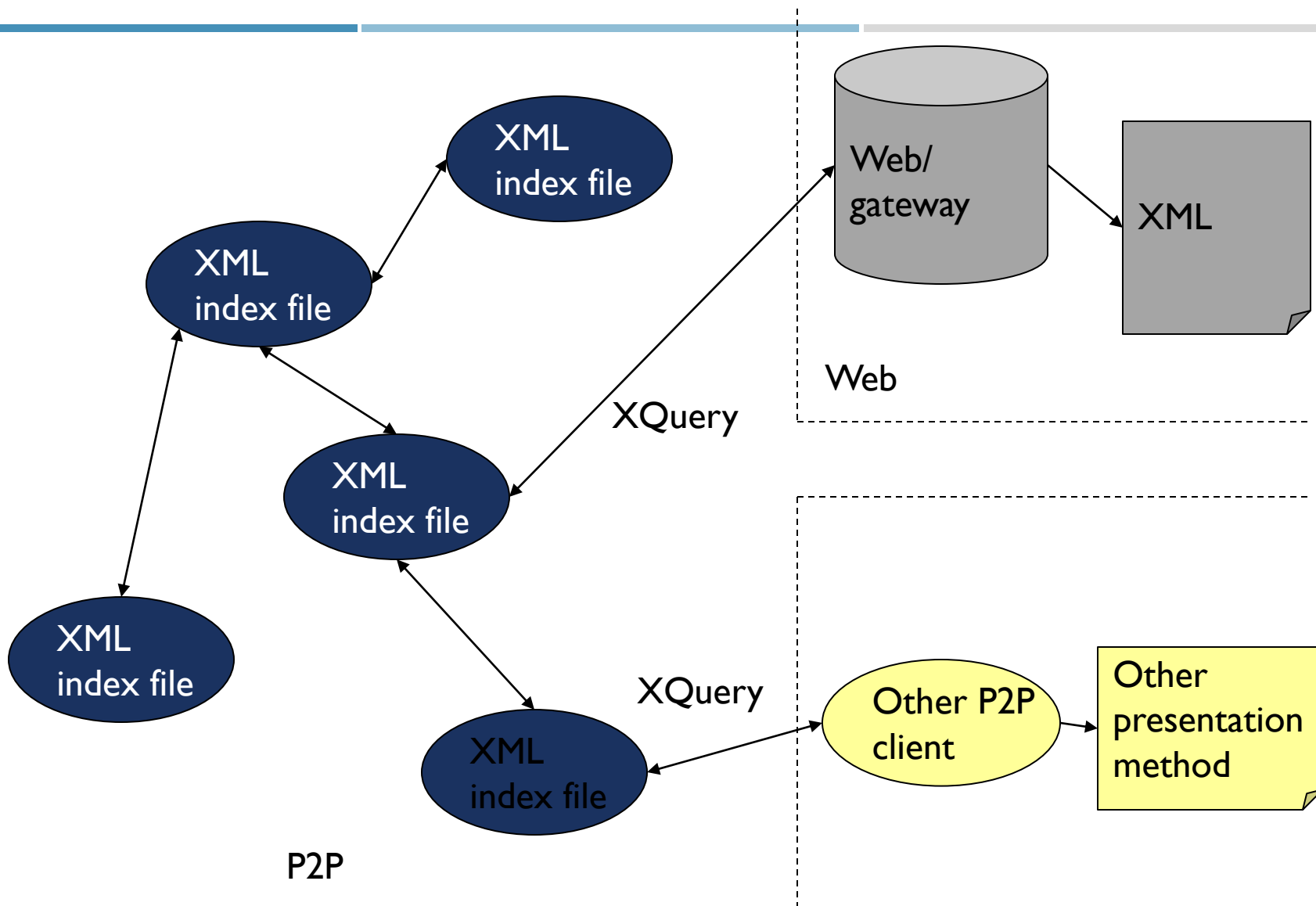
XQUERY

Querying XML Data

- XPath = simple navigation through the tree
- XQuery = the SQL of XML
 - FLWOR (“Flower”) Expressions

FOR ...
LET...
WHERE...
 ORDER BY ...
RETURN...

Possible Application



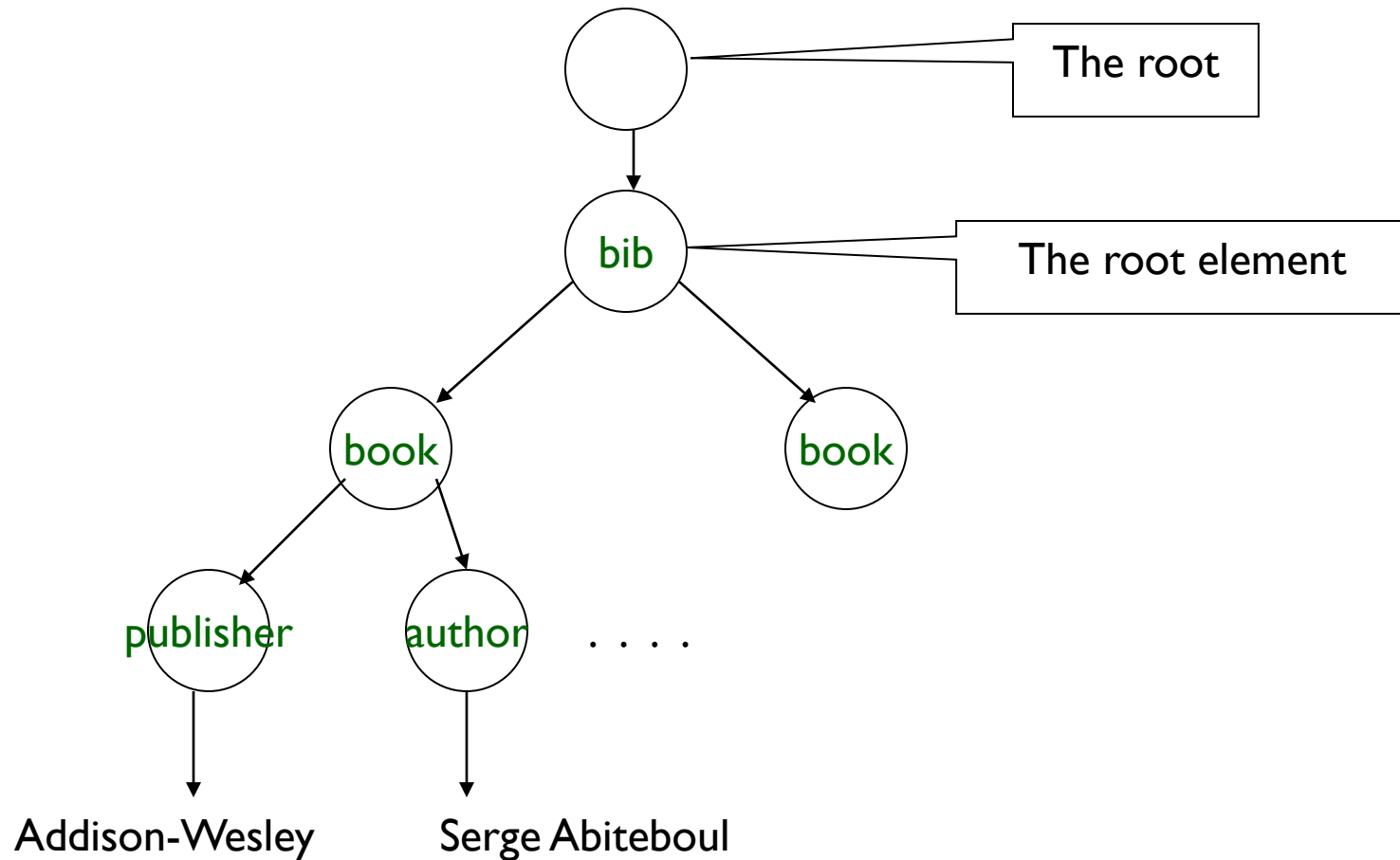
Sample for Xquery

```

<bib>
  <book>
    <publisher> Addison-Wesley </publisher>
    <author> Serge Abiteboul </author>
    <author>
      <first-name> Rick </first-name>
      <last-name> Hull </last-name>
    </author>
    <author> Victor Vianu </author>
    <title> Foundations of Databases </title>
    <year> 1995 </year>
  </book>
  <book price="55">
    <publisher> Freeman </publisher>
    <author> Jeffrey D. Ullman </author>
    <title> Principles of Database and Knowledge Base Systems </title>
    <year> 1998 </year>
  </book>
</bib>

```

Data Model for XPath



Selecting Nodes

Expression	Description
<i>nodename</i>	Get all nodes with nodename
/	Root node
//nodename	Get all nodes in document with nodename
.	Current node
..	Parent node
@attName	Get attribute names

XPath: Simple Expressions

`/bib/book/author`

```
<?xml version="1.0" encoding="UTF-8"?>
<author> Serge Abiteboul </author>
<author>
  <first-name> Rick </first-name>
  <last-name> Hull </last-name>
</author>
<author> Victor Vianu </author>
<author> Jeffrey D. Ullman </author>
```

`/bib/book[year<1996]`

`/bib/paper/year`

Result: empty (there were no papers)

Xpath: Attribute Nodes

```
/bib/book/@price
```

Result: "55"

@price means that price is has to be an attribute

Xpath: Text Nodes

```
/bib/book/author/text()
```

Result: Serge Abiteboul
Jeffrey D. Ullman

Rick Hull doesn't appear because he has **firstname, lastname**

Functions in XPath:

- `text()` → text value
- `node()` → matches any node
- `name()` → returns the name of the current tag

XQuery

■ Syntax

- **For** - selects a sequence of nodes
- **Let** - binds a sequence to a variable
- **Where** - filters the nodes
- **Order by** - sorts the nodes
- **Return** - what to return (gets evaluated once for every node)
- Example: Find all book titles published after 1995:

```

FOR $x IN /bib/book
WHERE $x/year > 1995
RETURN { $x/title }

```

Result:

```

<title> abc </title>
<title> def </title>
<title> ghi </title>

```

XQuery

Same as before, but eliminate duplicates:

```
FOR $x IN bib/book[title/text() = "Database Theory"]/author
    $y IN distinct(bib/book[author/text() = $x/text()]/title)
RETURN <answer> { $y/text() } </answer>
```

distinct = a function
that eliminates duplicates

E.g. FLOWR query filtering by attribute

```
for $b in /bib/book
```

```
let $title := $b/title
```

```
where $b/@price < 100
```

```
order by $title
```

```
return $title
```

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<title> Foundations of Databases </title>
```

```
<title> Principles of Database and Knowledge Base Systems </title>
```